



2009 Aquaculture Grant Program APPLICATION

Pat Quinn, Governor * Thomas E. Jennings, Director

Marketing and Promotion, State Fairgrounds, P. O. Box 19281, Springfield, Illinois 62794-9281 * Phone - 217/524-9129 * Fax - 217/524-5960

CFDA #:	10.103
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APPLICATION DEADLINE:

April 1, 2011

SECTION 1 - APPLICANT INFORMATION

Name of Applicant <i>(Must match name and Tax Payer Identification number on For W-9)</i>

SECTION 2 - BUSINESS CONTACT INFORMATION

(Fill In SS# or FEIN#)									
Social Security Number									
			-						
Federal Employee Identification Number (FEIN)									
			-						

If not an individual, please provide a DUNS number.

Free DUNS # registration:
<http://fedgov.dnb.com/webform>

SECTION 3 - BUSINESS CONTACT INFORMATION

Business/Farming Operation Name				
Address	City	State	Zip	County
		IL		
Phone		Business Phone		
Cell Phone or Pager		Fax		
Email				
Contact <i>(First name, Middle Initial, Last name)</i>				

For IDOA Use Only			
Total Eligible Reimbursement	Approved by	Date	
Voucher Number		Voucher Date	

SECTION 4 - STATUS OF AQUACULTURE OPERATION

A.	Do you hold a current, valid permit with the Illinois Department of Natural Resources for 2011? <i>(If you were permitted in 2009 and 2010 and have not yet submitted your application for 2011 you have until the deadline for this application (April 1, 2011) to submit your 2011 permit application to IDNR)</i>	Yes	No
B.	Did you hold a current, valid permit with the Illinois Department of Natural Resources for 2010?	Yes	No
C.	Did you hold a current, valid permit with the Illinois Department of Natural Resources for 2009?	Yes	No
D.	Have you been in active aquaculture production during 2011?	Yes	No
E.	Were you in active aquaculture production during 2010?	Yes	No
F.	Were you in active aquaculture production during 2009?	Yes	No
G.	During the 2009 Calendar year:		
	• Raised an aquaculture species in a controlled environment	Yes	No
	• Maintained the aquaculture species as part of a farming operation	Yes	No
	• Had a financial risk in the production of such species	Yes	No
H.	Raising aquaculture, as of the date of this AGP application?	Yes	No
I.	Meets the program loss requirements provided within program requirements	Yes	No
J.	Do you operate an aquaculture operation in more than one state?	Yes	No
	If yes, name the state(s):		
K.	List all aquaculture species you raise that you will be applying for in this application.		

SECTION 5 - REPORT OF TOTAL FEED PURCHASES

2009 Total Feed Deliveries to Applicant

	A. Pounds <small>(Total Pounds of Feed Purchased)</small>	B. Dollars <small>(Total \$ spent on Feed reported in 5A)</small>	C. Average Feed Price <small>(5A divided by 5B)</small>
Deliveries to the producer for use in the producer's aquaculture operation in calendar year 2009 for the applicable aquaculture species located within the State of Illinois and listed in 4K above.			

2009 Estimated Percentage of Feed Costs as Part of Total Input Costs for Applicant's Aquaculture Operation	%
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SECTION 6 - REPORT OF FEED PURCHASES

If you are applying for multiple species, print and complete this section for EACH species.

2009 Feed Purchases and Feed Costs Calculations

A.	<p>What type of aquaculture species does the following information apply? <i>(Example: Catfish, Striped Bass, Tilapia, etc.)</i></p>																																								
B.	<p>Are you currently an active aquaculture producer for this species? <i>Aquaculture production is defined as raising an aquaculture species in a controlled environment, maintaining the species as part of your farming operation and having risk in the production of such species.</i></p>	Yes		No																																					
C.	<p>Were you an active aquaculture farming operation for this species during the eligibility period (January 1, 2009 - December 31, 2009)?</p>	Yes		No																																					
D.	<p>Were your 2009 feed costs at least 25% of your input costs of the aquaculture operation during calendar year 2009?</p>	Yes		No																																					
E.	<p>What was your average price for feed for each of the last six years. <i>Please provide this information as Dollars per Unit of Measure, such as dollar per pound (\$/lb.) or dollar per ton (\$/ton).</i></p> <table border="0" style="width: 100%;"> <thead> <tr> <th style="width: 60%;"></th> <th style="text-align: center; width: 15%;">Dollars (\$)</th> <th style="width: 5%; text-align: center;">/</th> <th style="text-align: center; width: 15%;">Unit of Measure <i>(Pounds or Tons)</i></th> </tr> </thead> <tbody> <tr> <td>Calendar Year 2003</td> <td>_____</td> <td style="text-align: center;">/</td> <td>_____</td> </tr> <tr> <td>Calendar Year 2004</td> <td>_____</td> <td style="text-align: center;">/</td> <td>_____</td> </tr> <tr> <td>Calendar Year 2005</td> <td>_____</td> <td style="text-align: center;">/</td> <td>_____</td> </tr> <tr> <td>Calendar Year 2006</td> <td>_____</td> <td style="text-align: center;">/</td> <td>_____</td> </tr> <tr> <td>Calendar Year 2007</td> <td>_____</td> <td style="text-align: center;">/</td> <td>_____</td> </tr> <tr> <td>Calendar Year 2008</td> <td>_____</td> <td style="text-align: center;">/</td> <td>_____</td> </tr> <tr> <td>Calendar Year 2009</td> <td>_____</td> <td style="text-align: center;">/</td> <td>_____</td> </tr> <tr> <td>Calendar Year 2010</td> <td>_____</td> <td style="text-align: center;">/</td> <td>_____</td> </tr> </tbody> </table> <p><i>Please provide any additional information that you feel would be helpful in demonstrating your feed costs.</i></p>						Dollars (\$)	/	Unit of Measure <i>(Pounds or Tons)</i>	Calendar Year 2003	_____	/	_____	Calendar Year 2004	_____	/	_____	Calendar Year 2005	_____	/	_____	Calendar Year 2006	_____	/	_____	Calendar Year 2007	_____	/	_____	Calendar Year 2008	_____	/	_____	Calendar Year 2009	_____	/	_____	Calendar Year 2010	_____	/	_____
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F.	<p>What were the <u>total units of feed purchased and used</u> in calendar year 2009 for this aquaculture species? <i>Please include unit of measure such as pounds, tons, etc.</i></p>																																								

REQUIRED SUPPORTING DOCUMENTATION:

Producers applying for 2009 Aquaculture Grant Program funds must provide IDOA with the following information regarding feed purchased and used during the calendar year 2009:

- copies of invoices or receipts from calendar year 2009;
- copy of credit card statement listing payment to a vendor as a line item (all information other than cardholder's name and line item related to the charge should be redacted by striking out information with a black mark);

or

- official documentation from feed suppliers showing buyer's name, the date of purchase, amount of feed bought and price per ton during calendar year 2009. This documentation must be notarized.

Applications submitted without documentation to support eligible feed expenses and losses will be deemed as having "zero" eligible losses and no grant will be awarded. If information provided is not adequate, IDOA may require additional information or documentation.

Continue data on additional sheets if necessary.

A	<p>Background and Purpose</p> <p>The USDA Secretary authorized \$20 million under Section 32 of the Act of August 24, 1935, for a 2009 Aquaculture Grant Program (AGP). To provide assistance to eligible aquaculture producers under this program, FSA will provide a grant to Illinois.</p> <p>Illinois has chosen to provide assistance to eligible aquaculture producers in the State through the following method:</p> <p>1 Provide cash payments directly to eligible aquaculture producers</p>														
B	<p>Definitions</p> <p>1 Controlled environment means an environment in which everything that can practicably be controlled with structures, facilities, growing media (including but not limited to water or nutrients) by the producer, is in fact controlled by the producer, as determined by industry standards.</p> <p>2 Eligible aquaculture producer means a producer who, meets all of the following:</p> <ul style="list-style-type: none"> • During the 2009 calendar year: <ul style="list-style-type: none"> • raised an aquaculture species in a controlled environment • maintained the aquaculture species as part of a farming operation • had a financial risk in the production of such species. • Raises aquaculture, as of the date their AGP application (this document) is submitted to the Illinois Department of Agriculture. • Meets the program loss requirements provided within the program requirements and details as set by USDA and outlined in this application. <p><i>Note: State and Federal-owned hatcheries are not considered an eligible aquaculture producer.</i></p> <p>3 Farming operation means a business enterprise engaged in the production of agricultural products that is operated by an individual, entity, or joint operation.</p>														
C	<p>Determining Amount of Assistance</p> <p>1 The amount of the grant to the State from FSA is determined on a pro rata basis, based on the total amount of 2008 AGP benefits paid to eligible aquaculture producers in the State if a State factor was not applied, or if a 2008 State factor was applied, the total amount of 2008 AGP benefits that would have been paid to eligible aquaculture producers in the State had the 2008 State factor not been applied.</p> <p>2 The grant amount for the State of Illinois is \$78,536.</p> <p>3 The State may use up to 5 percent of the amount of 2009 AGP benefits, calculated according to item C5, for all eligible aquaculture producers in the State, for allowable costs, as provided in OMB Circular A-87, Cost Principles for State, Local, and Indian Tribal Governments, and in 7 CFR Part 3016.7 CFR Part 3016, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.</p> <p>4 The State shall establish a 2003-2007 5-year average feed price for each aquaculture species in the State.</p> <table border="1" data-bbox="495 1035 1044 1312" style="margin-left: auto; margin-right: auto;"> <thead> <tr> <th align="center">Species</th> <th align="center">2003 - 2007 5-year Ave. Feed Price</th> </tr> </thead> <tbody> <tr> <td align="center">Catfish</td> <td align="center">0.1630</td> </tr> <tr> <td align="center">Game Fish</td> <td align="center">0.3783</td> </tr> <tr> <td align="center">Hybrid Striped Bass</td> <td align="center">0.3366</td> </tr> <tr> <td align="center">Hybrid Sunfish</td> <td align="center">0.3262</td> </tr> <tr> <td align="center">Prawns</td> <td align="center">0.3441</td> </tr> <tr> <td align="center">Trout</td> <td align="center">0.4288</td> </tr> </tbody> </table> <p>5 The amount of assistance provided to each eligible aquaculture producer from the State shall be equal to the result of multiplying:</p> <ul style="list-style-type: none"> • The payment rate as determined in item C6, times • The producer's total feed deliveries (that is, deliveries to the producer for use in the producer's aquaculture operation) in calendar year 2009 for the applicable aquaculture species. <p>In accordance with items C7 and C8, adjustments shall be applied to the amount of assistance provided to each eligible aquaculture producer determined above.</p> <p>6 The payment rate shall be that amount which the State has determined is equal to the result of subtracting:</p> <ul style="list-style-type: none"> • The producer's 2009 average feed price for the applicable aquaculture species, minus • The State's 2003-2007 5-year average feed price for the applicable aquaculture species, as established in item C4. <p>7 If the total amount of benefits calculated in item C5, after applying the payment limitation in item C8, for all eligible applicants in a State exceeds the grant amount provided in item C2, the State shall reduce the amount of assistance calculated for each applicant on a pro rata basis.</p> <p>8 The total amount of assistance that a person or legal entity may receive, directly or indirectly from the funds made available under this and any other state's Agreement for this program shall not exceed \$100,000 in aggregate, except for general partnerships and joint ventures in which case assistance shall not exceed \$100,000 times the number of members that constitute the general partnership or joint venture. The direct attribution provisions in 7 CFR Part 1400 shall apply 7 CFR Part 1400 is available at www.access.gpo.gov/nara/cfr/waisidx_04/7cfr1400_04.html. The payment limitation shall be applied to an applicant based on the applicant's business structure as it existed during calendar year 2009.</p>	Species	2003 - 2007 5-year Ave. Feed Price	Catfish	0.1630	Game Fish	0.3783	Hybrid Striped Bass	0.3366	Hybrid Sunfish	0.3262	Prawns	0.3441	Trout	0.4288
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9	A person or legal entity shall not be eligible for assistance if they are not in compliance for calendar year 2009 with the conservation compliance provisions of 7 CFR Part 12.
10	A person or legal entity shall not be eligible to receive assistance if their average adjusted gross non-farm income, as defined in 7 CFR Part 1400 with respect to 2009 programs, exceeds \$500,000 for calendar years 2005-2007.

D	Providing Assistance
	In the provision of assistance under this grant Agreement to eligible aquaculture producers:
1	Application procedures for 2009 aquaculture feed funds will be as determined by each State.
2	Assistance may be provided by the State to eligible aquaculture producers using one of the following methods:
a	Provide cash payments directly to eligible producers; or
b	Provide assistance in the form of feed credits, vouchers, or similar instruments to be applied to future aquaculture feed purchases.
3	Assistance shall only be provided to an eligible aquaculture producer that:
a	Produced an aquaculture species for which 2009 feed costs represented at least 25 percent of the producer's total input costs for the aquaculture operation, as certified by the producer;
b	Experienced at least a 25 percent price increase of 2009 feed costs above the State's 5-year average (2003-2007) established for the applicable species. A 25 percent price increase for a species shall be determined by multiplying the State's 5-year average established in item C4 for the applicable species times 1.25.
c	Submits to the State acceptable documentation, determined by the State, which establishes, at a minimum:
i.	The producer's total feed deliveries in calendar year 2009 for the applicable aquaculture species; and
ii.	The producer's 2009 average feed price for the applicable aquaculture species.
	Such documentation may include a certification from the feed mill that provides, at a minimum, the producer's total feed deliveries in calendar year 2009 and the producer's 2009 average feed price.
d	Submits to the State acceptable documentation, determined by the State, of 2010 feed purchases for, at a minimum, the months of May 2010 through August 2010, to establish that the aquaculture producer's farming operation is still in business, as of the date of the 2009 AGP application, as determined by the State.
4	The amount of assistance provided to an eligible aquaculture producer shall not exceed the amount of losses suffered by the producer as a result of high feed input costs during the 2009 calendar year, as determined in item C5 by the State.
5	Assistance shall only be provided to eligible aquaculture producers who certify to the State or agree that:
a	Funds received by the producer under this program will be used for costs associated with their current aquaculture operation; and
b	Records on file for the producer at an applicable Farm Service Agency county office show:
i.	That their average adjusted gross non-farm income does not exceed \$500,000 for the three preceding tax years (2005-2007), as calculated in 7 CFR Part 1400; and
ii.	Compliance with the conservation compliance eligibility provisions for other programs found at 7 CFR Part 12.
c	Access will be provided by the producer as needed to assure compliance with the program.
d	Feed credits, vouchers, or other similar instruments can not be traded, sold or redeemed for cash, if the State implements the program according to Item A2 of this agreement.
6	The State shall have producers and feed mills certify that the statements producers and feed mills make on the program application and any other program documents are true and correct and that they understand that any false statements made as part of the application, or any other program documents, can be the subject of substantial civil and/or criminal liability and sanctions. The State is responsible for enforcing diligently all program requirements applicable to participants in the program.
7	The State must have producers and feed mills, if applicable, execute whatever documents as are necessary to assure that FSA can determine that there has been full compliance with this Agreement, including, but not limited to, program application and required supporting documentation.
8	The State must have producers and feed mills, if applicable, retain financial and other records relating to the funds for a period of 3 years after completion of the distribution of grant funds or until final resolution of any audit findings or litigation claims relating to the distribution of such funds.
9	The failure of a producer or feed mill, if applicable, to provide access to such documents described in item D7 shall result in that part of the grant to the State to be considered to have been improperly made for which the producer and feed mill, if applicable, shall be responsible for a full refund to the State and for the State to refund to FSA plus interest from the initial date of disbursement from FSA.
10	Payments are subject to the availability of funds and any requirements of law that may apply.

The Applicant agrees to allow any representative of the FSA, US Department of Agriculture, including FSA and Office of the Inspector General, and the Comptroller General of the United States as well as the State of Illinois including but not limited to the Illinois Department of Agriculture, Illinois Attorney General, the Auditor General of the State of Illinois, or any of their duly authorized representatives, to have access to and the right to examine all records and documents related to this Agreement. The Applicant to provide access to the State and the FSA and other branches of Federal and State government to facilities and records as needed to assure compliance with the program.

Forms required to be filed by AGP Applicants with Illinois State FSA Office			
•	AD-1026	Conservation compliance	All applicants must file.
•	CCC-926	Certification that applicant's average adjusted gross non-farm income was \$500,000 or less)	All applicants must file. If the applicant is an entity, all individuals and entities with an ownership interest in the applicant must also file.
•	CCC-927	Consent form that allows IRS to access and use certain items of tax return information to perform calculations that will assist FSA in its verification of an applicant's compliance with the \$500,000 average adjusted gross non-farm income limitation and for FSA to receive certain items of return information for its eligibility determinations	All applicants that are persons (individuals) must file.
			Must be filed with the IRS (not FSA)
•	CCC-928	Consent form that allows IRS to access and use certain items of tax return information to perform calculations that will assist FSA in its verification of an applicant's compliance with the \$500,000 average adjusted gross non-farm income limitation and for FSA to receive certain items of return information for its eligibility determinations	All applicants that are entities must file.
•	CCC-901	Member information for joint operations, corporations and general partnerships	All applicants that are entities must file.

By signing below, applicant: (1) certifies that all information provided in connection with this application is true and correct; (2) acknowledges that any misrepresentation or false statement made by applicant or an authorized agent of applicant in connection with this application, whether intentional or not, will constitute grounds for denial of this application; (3) acknowledges that acceptance of funds in connection with this application acts as acceptance of the authority of the Illinois Department of Agriculture (IDOA), or any successor agency, the Illinois Auditor General's Office (IAG), or any successor agency, the U.S. Department of Agriculture (USDA), the Office of the Inspector General (OIG), and/or the Comptroller General of the United States (CGUS) to conduct an investigation in connection with those funds, and applicant further agrees to cooperate fully with IDOA or its successors, IAG or its successor, USDA, OIG and/or CGUS in the conduct of the audit or investigation, including allowing IDOA, IAG, USDA, OIG, and/or CGUS to inspect applicant's premises and providing all records requested; (4) acknowledges, if applicant is an individual, that this application and any payments owed to applicant in connection with this application may be denied due to delinquency in payment of a guaranteed student loan and for failure to pay child support; and (5) certifies that at least 25% of input cost of aquaculture operation is representative of feed costs in 2009. The person signing this application certifies that he or she is authorized to submit this application and to make the preceding certifications and acknowledgements on behalf of applicant.

All applicants involved with this program must execute whatever documents as are necessary to assure that IDOA and FSA can determine that there has been full compliance with the Program Requirements as set forth in the Agreement between FSA and IDOA, including, but not limited to, program application and required supporting documentation.

All applicants involved with this program must retain financial and other records relating to the funds for a period of 3 years after completion of the distribution of grant funds or until final resolution of any audit findings or litigation claims relating to the distribution of such funds.

Notice of Penalties: The penalty for knowingly making false statements or false entries, or attempts to secure money through fraudulent means, may include fines and/or incarceration and/or forfeiture of funds.

I hereby certify all information provided in and with this application to be true and correct.	
Authorized Signature	Date

Return application and supporting documentation to Illinois Department of Agriculture. All applications must be received by IDOA by:	04/01/11
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Mailing Address:	Illinois Department of Agriculture 2008 Aquaculture Grant Program State Fairgrounds, P. O. Box 19281 Springfield, Illinois 62794-9281
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